

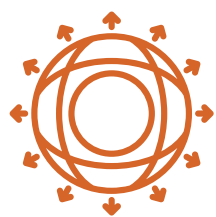
# Third Party Risk Management Services

Summary of Relevant Experience and Service Offerings



## THIRD PARTY RISK MANAGEMENT (TPRM) EXPERIENCE

- ▶ Active member firm of the Shared Assessment Program
  - ▶ SD personnel regularly present at their annual summit and are active members on several specialized committees
- ▶ Deep TPRM experience with Global Systemically Important Banks (G-SIBs) performing outsourced IT and Operations risk assessment services
- ▶ Specialize in TPRM services performing application development and cloud computing control assessment services
- ▶ Experience providing thought leadership and subject matter expertise through articles and local/national professional groups (ISACA, IIA, InfraGard, ISC2, IAAP, AICPA)
- ▶ Completed study and gap analysis of how third party offshore development services are controlled throughout the banking industry
- ▶ Vast IT audit experience assessing, advising, and implementing TPRM controls for Fortune 100 clients
- ▶ Performed comprehensive TPRM program maturity assessment and remediation road map for numerous banking, energy, healthcare, insurance, and higher education institutions
- ▶ Experience with a multitude of data security and compliance areas including GDPR, GLBA, FFIEC, HIPAA, HITRUST, PCI, NIST, ISO, COBIT, SOX, COSO and more
- ▶ Industry leaders performing, reviewing, and training organizations for SOC reports



## BENEFITS OF PARTNERING WITH SCHNEIDER DOWNS

- ▶ Augment traditional coverage with strategic and tactical support
- ▶ Allow for coverage of nontraditional areas through subject matter specialists
- ▶ Cost-effective means of scaling and flexing your TPRM program to meet plan needs
- ▶ Skill development opportunities and ability to raise competencies of current staff by integrating our resources with yours
- ▶ New tools, techniques, and innovative solutions

## PROFESSIONAL SERVICES TEAM



**Eric M. Wright, CPA, CITP**  
Shareholder  
Technology Services  
412.697.5328  
ewright@schneiderdowns.com



**James B. Yard, CPA**  
Shareholder  
Risk Advisory Services  
412.697.5345  
jyard@schneiderdowns.com



**William M. Deller, Jr., CISA, CTPRP, CTPRA**  
Manager  
IT Risk Advisory Services  
412.697.5256  
wdeller@schneiderdowns.com



**Mark K. Riley, CISA**  
Manager  
IT Risk Advisory Services  
412.697.5469  
mriley@schneiderdowns.com

TPRM SERVICES



TPRM Ad-Hoc Review Services



TPRM External Assessor Services



TPRM Program Support Services



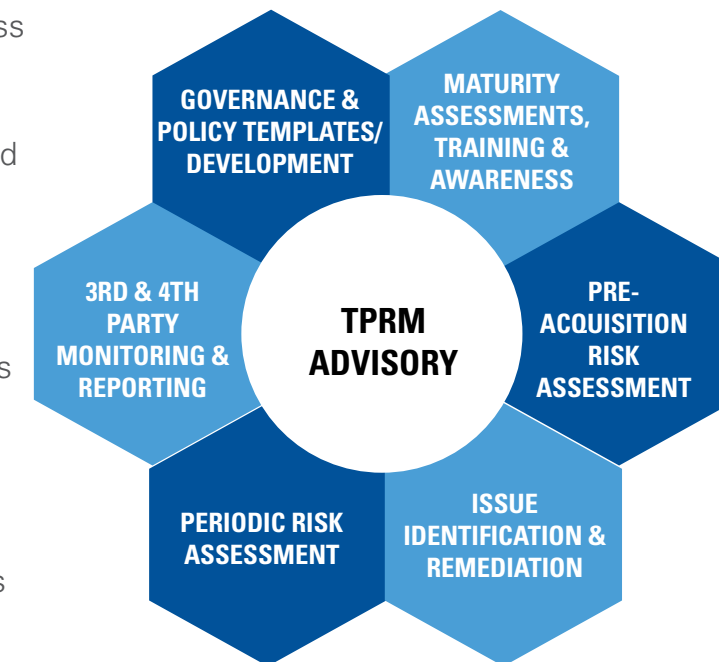
TPRM Capabilities and Maturing Assessment



TPRM Program Implementation and Development

## TPRM STANDARD

The requirement to assess and monitor Third Parties is a growing compliance obligation for firms around the country. Schneider Downs has been at the forefront of TPRM compliance by partnering with various organizations such as ISACA, Shared Assessments and AICPA to help companies better understand the risks and challenges present in this industry.



## TPRM ACCOLADES

- ▶ Assisted in the development of the CTPRA certification with Shared Assessments
- ▶ 22 Certified CTPRA's (Certified Third Party Risk Auditors)
- ▶ 4 Certified CTPRP's (Certified Third Party Risk Professionals)
- ▶ Currently providing TPRM Program support / outsourcing for over 5 G-SIBs (There were 30 total G-SIBs in 2020)
- ▶ Experience with industry leading TPRM and Governance Risk & Compliance tools / applications
- ▶ Developing a NLP-based (Natural Language Processing) intelligent solution to perform TPRM more efficiently, without sacrificing our quality standards